

Special Occasion Permits

Important Information - Please read these instructions carefully and keep this information.

What is a Special Occasion Permit?

A permit is needed **any** time liquor is offered for sale **or** served **anywhere** other than in a licensed establishment or a private place. A private place is an **indoor** area usually not open to the public and not open to the public during the event; for example, a residence or private office.

Special Occasion Permits are for **occasional special** events only, and not for personal profit. If you intend to serve liquor for personal profit, you must obtain a liquor licence. A permit may be revoked before the event if the Registrar has reason to believe the event is being used for personal gain.

Your Responsibilities

The permit holder is responsible for the safety and sobriety of people attending the event as well as compliance with the Act and Regulations. The Liquor Licence Act and Regulations may be obtained from Publications Ontario at 1 800 668-9938 or 416 326-5300 and on the AGCO website at <http://www.agco.on.ca/en/ft.features/ft4.acts.html>.

Permit applications must be submitted at least **30 days** before the event takes place. (**Exception: 10 days** for indoor reception events.) Your application may be refused if you don't meet this requirement.

General Information

Applicants

You must be 19 years of age or older to apply for a Special Occasion Permit. You may be asked to provide acceptable I.D.

If the applicant is an organization, the application must be signed by a member or representative at least 19 years of age who has signing authority for the organization.

Applicants must submit **all** information, records, materials, documentation or approvals to the LCBO as requested.

The permit holder or representative must be present throughout the Special Occasion Permit event.

The permit (and levy receipt for Sale events) must be posted in a noticeable place during the event.

Refunds

There will be no refunds for cancelled events or events where changes have occurred after a permit has been issued. Cancellations or changes to an existing permit will require a new Special Occasion Permit and fee.

Hours of Service

You may serve liquor only during the hours specified on your permit. Hours must be between:

- Monday to Saturday: 11 a.m. to 1 a.m.
- Sunday: 12 noon to 1 a.m.
- New Year's Eve (December 31): 11 a.m. to 2 a.m.

Food

An adequate supply of food must be available to persons attending the event. Snacks such as chips, peanuts or popcorn are not an acceptable substitute for this requirement.

Advertising (except Receptions)

Advertisements for the event may include the name and location of the event, the fact that the LCBO has issued a permit, and the availability of

liquor. The advertisement may only refer to the general types of liquor available; do not include brand or manufacturers' names.

RECEPTION EVENTS CANNOT BE ADVERTISED.

Security

The permit holder must provide security sufficient to ensure that unauthorized persons do not attend the event and to ensure that the conditions of the permit and requirements of the Act are observed. In order to determine whether security is sufficient, the permit holder shall consider,

- a) the nature of the event;
- b) the size of the premises; and
- c) the age and number of persons attending the event.

Homemade Wine/Beer

For a wedding or other religious event

Homemade wine and beer can only be served but not sold at a wedding or other religious event. The wine/beer must be made by a member of the family hosting the event. The permit holder may not sell the wine/beer.

For Wine/Beer Club/Association/Group

The objects of the club/association/group are the testing, exhibition and judging of wine/beer made by its members. If the event is open to the public, wine/beer may only be served to members of the club/association/group. No service is allowed to the public.

Premise Guidelines

You should ensure that the location where the event is to take place meets the following guidelines. Obtain this information from the premise owner or manager along with confirmation that the building has been inspected by the proper authorities.

- The location may not be in a dry area if liquor is to be sold at the event;
- The sale, service or consumption of alcohol is not permitted in tiered seating;
- The permit location must be partitioned from areas where the permit does not apply or alcohol may not be consumed;
- The location may not be a licensed establishment under suspension and the event must comply with any conditions that apply to the licence (eg. restricted hours of operation);
- The premises may not be a dwelling or rooms or land adjacent to and used in conjunction with a dwelling;

Permit Area Capacities

The maximum capacity of many indoor premises is predetermined by either the Building or Fire Department. It is the permit holder's responsibility to ensure these capacities are not exceeded during the permit event.

Maximum capacities for all outdoor areas (and indoor areas without a Building or Fire Department capacity) is determined by dividing the actual size of the **permit area** (as determined in square metres or square feet) by 1.11 square metres (12 square feet) per person. (**example: 30m x 60m = 1800 square metres ÷ 1.11 = 1621 persons.**)

It is the permit holder's responsibility to ensure these capacities are correct and are not exceeded during the permit event.

Type of Events and Requirements

Special Occasion Permits are either Sale or No Sale and are issued for specific types of events. Please read this section carefully to determine which type of permit you require.

Sale

A Sale permit is required for events where money is collected for liquor through:

- an admission charge to the event
- admission or liquor tickets sold to people attending the event
- the collection of money for liquor before the event.

When you buy liquor for your sale event, you will be charged an additional levy fee because you are reselling the liquor. Post the levy receipt with the permit in a noticeable place at the event.

As the holder of a sale permit for a Reception, Trade Show or Consumer Show event, you may not profit directly or indirectly from the sale of liquor at the event.

No Sale

A No Sale permit is issued when:

- liquor is served without charge,
- no money is collected directly or indirectly for liquor from guests,
- the permit holder absorbs all liquor costs.

No levy fee is charged on liquor for a no sale event.

Outdoor Events

Outdoor events are those which take place outdoors or in a temporary structure, such as a tent or marquee.

The outdoor area must be clearly defined and separated from unlicensed areas by a minimum 36" (0.9m) high barrier.

At least 21 days prior to the event, you must write to the local municipal clerk's department, police, fire and health departments informing them of the event and identifying the physical boundaries. If a tent or marquee is used, you must also notify in writing the local building department.

Include with your application:

- copies of the letters to the police, fire, health and municipal clerk's departments.
- a detailed sketch showing the dimensions of the area for which the permit will apply.

Reception (Sale and No Sale)

Receptions are private events for invited guests only. Advertising is limited to invited guests only. Do not mention availability of liquor. The general public is not to be admitted.

Fundraising (Sale)

Fundraising events are held to raise funds for charitable, educational, religious or community objects.

The applicant for a Fundraising event permit must be a registered charity under the Income Tax Act (Canada) or a non-profit corporation, organization or association organized to promote charitable, educational, religious or community objects. **Individuals cannot fundraise by selling liquor.**

Sports teams are not eligible.

No other type of SOP event qualifies to accept donations of liquor from liquor manufacturers.

Records for all donated products must be obtained by the SOP holder and must be made available for inspection.

Donated products may not be carried over from one event to another, and must be processed by the LCBO/Government store. Levies must be paid on all donated alcohol.

Social Gaming events are eligible as Fundraising events provided the applicant is a registered charity and the games are licensed under section 207 of the Criminal Code.

The holder of a fundraising special occasion permit may offer liquor donated by a manufacturer for a prize, provided there is a lottery licence issued.

Businesses, including municipalities are not eligible.

Community Festival (Sale)

The event must be designated as a Community Festival by a resolution of the municipal council or a delegated municipal official and are to be conducted

by a charity, non-profit corporation, organization or association for the advancement of charitable, educational, religious or community objects.

The permit must include a letter from the municipal council, the municipal clerk or delegated official designating the event as a Community Festival (applicants for Community Festivals are the same as for Fundraising events).

Significant Events (Sale)

A Significant Event is an event of municipal, provincial, national or international significance.

Events of municipal significance must be designated as such by a resolution of municipal council. The resolution must be included with the permit application.

Trade Shows (usually Sale)

A Trade Show is an event to which only people involved in the hospitality industry and their guests are permitted to attend. The major exhibits, themes and demonstrations at the trade show must be directly related to an aspect of the hospitality industry. This type of show is for the sampling of liquor (and food) products only.

Only the trade show organizer may apply for the permit.

Consumer Show (Sale)

A Consumer Show is an event to which the general public is admitted. The major exhibits, themes and demonstrations at the consumer show must be directly related to an aspect of the hospitality industry. This type of show is for the sampling of liquor (and food) products only.

Only the consumer show organizer may apply for the permit.

Market Research (No Sale)

A Market Research event is conducted by or on behalf of a liquor manufacturer to provide market research about their products.

You must include with your application a letter from the manufacturer authorizing you as their representative to conduct market research.

If the market research is done in an open area (eg. a mall foyer), the area must be separated from the unlicensed area by a 36" (0.9 m) high barrier.

The primary business during the event must be Market Research.

Auction (Sale)

Applicants for Auction permits must be:

- a registered charity under the Income Tax Act (Canada), or
- an administrator or executor of an estate acting within his or her duties with respect to an estate, or
- a Sheriff acting within his or her duties with respect to liquor seized under a writ of execution.

You must post a notice on the premises stating that the liquor being auctioned may not have been tested by the Liquor Control Board of Ontario. The purchaser must acknowledge in writing that the liquor may not have been tested. The permit holder must send a copy of the signed acknowledgement to the AGCO within 14 days of the event.

Do not serve or allow consumption of the auctioned liquor on the premises where the auction is to take place. Purchasers may only pick up their liquor when they leave the event.

You may also include the name of the brands of liquor and the source of the liquor that will be offered for sale by auction. If you wish to sell and serve liquor for consumption at the event, you must apply for another Special Occasion Permit in addition to the Auction permit.

Diplomatic Event (No Sale Reception only)

For a diplomatic event, the application must be affixed with the consular or embassy seal.

For more information

Call or write to: AGCO, 90 Sheppard Ave. East, Suite 200, Toronto ON M2N 0A4. Telephone 416 326-8700 or toll free 1 800 522-2876. Fax: 416 326-5555. E-mail address: licensing@agco.on.ca ♦ Website: www.agco.on.ca

Application for a Special Occasion Permit



Alcohol and Gaming Commission of Ontario

| | | |
|-------------------------------|----------------------------------|--|
| For office use only | Zone/store no. | \$ Fee received |
| Police | | AGCO Inspector |
| <input type="checkbox"/> Levy | <input type="checkbox"/> No Levy | <input type="checkbox"/> Sale <input type="checkbox"/> No Sale |

This application must be submitted to and be issued by an LCBO permit issuing store in the municipality where the event is to be held or if there is no LCBO issuing store in the municipality, at the LCBO issuing store that is closest to the premises where the event is to take place.

The application fee must accompany the application - cash, certified cheque or money order made payable to the LCBO or Minister of Finance at least **10 days prior to a reception event held indoors or 30 days prior to all other events.**

The application fee is non-refundable.

| | |
|---|--|
| Name of applicant (may be an individual or an organization) <i>Print last name, first name</i> | Name of premise/property where event is to be held |
| Address | Address |
| City, town, village Postal Code | City, town, village Postal Code |
| Telephone number and area code Home () Business () | Township or Regional Municipality |

Name of person who will attend and be responsible for event (*Print last name, first name*)

Address

City, town, village Postal Code

Will alcohol be served or consumed?
 Outdoors: Yes No
 Under a Tent/Marquee/Pavilion: Yes No

Registered charity Yes No Registered Income Tax Number Non-profit corporation Yes No Non-profit association Yes No

List date(s), start and finish times, room(s)/location and estimated attendance of event

| Dates | Start time (a.m./p.m.) | Finish time (a.m./p.m.) | Room/area/Exact location | Estimated attendance |
|-------|------------------------|-------------------------|--------------------------|----------------------|
| | | | | |
| | | | | |
| | | | | |

NATURE OF EVENT AND FEE PER DAY:

RECEPTION \$25 No Sale \$75 Sale
 Receptions are events that are limited to invited guests only. The general public **cannot** be admitted and no profit may be made directly or indirectly from the sale of alcohol.

FUNDRAISING \$75 Sale
 Will you be receiving any donated liquor from manufacturers for this event?
 Yes No

COMMUNITY FESTIVAL \$75 Sale per 3 day period (consecutive)

SIGNIFICANT EVENT \$75 Sale

TRADE SHOW \$25 No Sale \$75 Sale

CONSUMER SHOW \$75 Sale

MARKET RESEARCH \$25 No Sale

AUCTION OF LIQUOR \$75 Sale

DIPLOMATIC EVENT \$25 No Sale

Are tickets to be sold for the event? Yes No

Is there an admission charge? Yes No

Will alcohol be sold? Yes No

Are you serving homemade wine/beer? (religious events or beer/wine clubs only) Yes No

Will the event be advertised? If yes, explain: Yes No

Is event for invited guests only? Yes No

Details of event

Application must be signed. See page 2.

Important - Please read carefully.

Designated or Responsible Person

The permit holder or responsible person as indicated on the application and permit must be in attendance at the event. If however, in an emergency situation the permit holder/responsible person is unable to attend, then it is the responsibility of the permit holder to designate in writing someone to attend the permit event. The designated person is responsible to ensure that the requirements of the law for the permit event are complied with. ***The designated person must not be someone who has been refused permits by the AGCO.***

All beverage alcohol must be purchased with your permit at the LCBO, the Beer Store or any authorized Ontario Winery, Brewery or Distillery Stores ("government stores"). All receipts of alcohol purchases under SOP must be made available at the event.

Holders of a Fundraising SOP may accept liquor donated by Manufacturers of beverage alcohol. Receipts for all donated products must be made available at the event.

Holders of a No-Sale Reception SOP issued for a religious function may make homemade wine or beer available at their event.

Left over liquor must be removed from the premises at the end of the event. When returning alcohol purchased from a government store, you must produce the SOP and a copy of the purchase order. (Check with the LCBO/The Beer Store for further details.)

The police or AGCO Inspector may cancel a Special Occasion Permit while the event is underway if he or she reasonably believes that the Liquor Licence Act or regulations are being contravened in connection with the event.

Reception events for stags, stag and does, bridal showers, etc. must be events that are limited to invited guests only and the event must not be advertised to the public by way of flyers, newspaper, internet or radio. No profit can be made directly or indirectly from the sale of alcohol.

Learn how to lower your liability risks by taking the Smart Serve™ program and hire servers who have taken the course (or a licensed caterer). For information call 416 695-8737.

Application Statements (check one box only)

Before signing this application please make sure that you have read all of the information above and completed all of the questions. Any changes to the application must be initialled by the applicant.

- No Sale Permit - all liquor costs will be absorbed solely by the permit holder.
- Sale Permit for a reception/trade show/consumer show event - no intention to make a profit directly or indirectly from the sale of liquor at this event
- Fund Raising Event - to raise funds for _____

It is a serious offence to make a false statement, a fine of up to \$25,000 can be imposed.
I certify the information is true, I understand my legal obligation as a permit holder and I have attained 19 years of age.

| | | |
|------------------------|-----------------------------|------|
| Signature of applicant | Office held in organization | Date |
|------------------------|-----------------------------|------|

The above information is collected pursuant to the Liquor Licence Act, R.S.O. 1990, chapter L.19. The Principal purpose of the collection is to determine eligibility for the issuance of a Special Occasion Permit. The information may also be disclosed pursuant to the Freedom of Information and Protection of Privacy Act. For questions about the collection of this information, please contact the Manager, Liquor Licensing, Alcohol and Gaming Commission of Ontario, 90 Sheppard Avenue East, Suite 200, Toronto ON M2N 0A4, telephone 416 326-8700, toll free in Ontario 1 800 522-2876. Email address: licensing@agco.on.ca